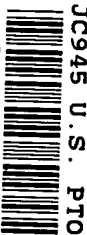


05/03/01



J1046 U.S. PTO

05-04-01

A

Law Offices of

(970) 222-0446

DEAN P. EDMUNDSON, P.C.

P. O. Box 710

Windsor, Colorado 80550

Date: May 3, 2001

Case Docket No.: 353-07

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor: Harold R. Kaufman et al.

For: IMPROVED HALL-CURRENT ION SOURCE

Enclosed are:

- ☒ 5 sheets of drawing.
- ☐ An assignment of the invention to \_\_\_\_\_
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

The filing fee has been calculated as shown below:

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	9 - 20 =	0
INDEP CLAIMS	8 - 3 =	5
MULTIPLE DEPENDENT CLAIM PRESENTED		

## SMALL ENTITY

RATE	FEE	OR
	\$ 355	OR
X 9 =	\$	OR
X 40 =	\$	OR
\$ 135	\$	OR
TOTAL	\$	OR

OTHER THAN A  
SMALL ENTITY

RATE	FEE
	\$ 710
X 18 =	\$ 0
X 80 =	\$ 400
\$ 270	\$ 0
TOTAL	\$ 1110

A check in the amount of \$ 1,110.00 to cover the filing fee is enclosed.

Respectfully submitted,

Dean P. Edmundson

Reg. No. 25,723

Attorney for Applicant

J1046 U.S. PTO  
09/848644

05/03/01

Please type a plus sign (+) inside this box → ☐

Approved for use through  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/xx (6-95)  
OMB 0651-0032

0002/PTO  
Rev. 6/95

U.S. Department of Commerce  
Patent and Trademark Office

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

(to be used for new applications only)

Attorney Docket Number

353-07

First Named Inventor

Kaufman

Total Pages in this Submission

51

## APPLICATION ELEMENTS

Notice: Checklist items mentioned under Application Elements section construct a new utility patent application. Please refer to MPEP Sections 506, 601, (37CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.

1. ☒ Fee Transmittal Form (prescribed filing fee(s))

2. Specification

☒ Abstract of the Disclosure

☒ Title of the Invention

☐ Cross References to Related Applications  
(if applicable)

☐ Statement Regarding Federally-sponsored  
Research/Development (if applicable)

☐ Reference to Microfiche Appendix  
(if applicable)

☒ Background of the Invention

☒ Brief Summary of the Invention

☒ Brief Description of the Drawings  
(if drawings filed)

☒ Detailed Description

☒ Claim or Claims

3. ☒ Drawing(s) (when necessary as proscribed by  
35 USC 113)

4. ☒ Executed Declaration

5. Genetic Sequence Submission  
(if applicable, all must be included)

☐ Paper Copy

☐ Computer Readable Copy

☐ Statement Verifying Identical Paper and  
Computer Readable Copy

## ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment Papers

7. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

8. ☐ Computer Program in Microfiche

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS  
Citations

11. ☐ Petition Checklist and Accompanying Petition

12. ☐ Preliminary Amendment

13. ☐ Proprietary Information

14. ☒ Return Receipt Postcard

15. ☐ Small Entity Statement

16. ☐ Additional Enclosures (please identify below):

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Dean P. Edmundson

Signature

*Dean P. Edmundson*

Date

May 3, 2001

## FOR OFFICIAL USE ONLY

Application Number		Class		Independent Claims	
Date of Receipt	Application Type	GAU		Total Claims	
	Filing Date	Foreign Filing License?		Drawing Sheets	
	Small Entity	Foreign Address?		Special Handling?	

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231, and to the Office of Information and Regulatory Affairs, Office of Management and Budget (Project 0651-0032), Washington DC 20503. DO NOT SEND FEES OR

0002/PTO Rev. 6/95		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
FEE TRANSMITTAL				Application Number	
				Filing Date	
				First Named Inventor <b>Kaufman</b>	
				Group Art Unit	
				Examiner Name	
TOTAL AMOUNT OF PAYMENT		(\$)		1,110.00	
				Attorney Docket Number 353-07	

METHOD OF PAYMENT (check one)	
<p>1 <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number _____</p> <p>Deposit Account Name _____</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(u)</p>	<p>2 <input checked="" type="checkbox"/> Payment Enclosed: (reserved space)</p> <p><input checked="" type="checkbox"/> Check</p> <p><input type="checkbox"/> Money Order</p> <p><input type="checkbox"/> Other _____</p>

FEE CALCULATION (fees effective 1/1/96)																																																																																																																																																																																																																					
<p><b>1 FILING FEE</b></p> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>700</td> <td>201</td> <td>300</td> <td>Utility filing</td> <td>710</td> </tr> <tr> <td>106</td> <td>300</td> <td>206</td> <td>150</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>540</td> <td>207</td> <td>270</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>700</td> <td>208</td> <td>300</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td colspan="5">SUBTOTAL (1)</td> <td>(\$)</td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	700	201	300	Utility filing	710	106	300	206	150	Design filing fee		107	540	207	270	Plant filing fee		108	700	208	300	Reissue filing 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within third month		118	1,400	218	700	Extension for response within fourth month		119	290	219	145	Notice of Appeal		120	290	220	145	Filing a brief in support of an appeal		121	250	221	125	Request for oral hearing		138	1,430	138	1,430	Petition to institute a public use proceeding		140	110	240	55	Petition to revive unavoidably abandoned application		141	1,250	241	625	Petition to revive unintentionally abandoned application		142	1,200	242	640	Utility issue fee (or reissue)		143	420	243	210	Design issue fee		144	660	244	330	Plant issue fee		122	130	122	130	Petitions to the Commissioner		126	220	126	220	Submission of Information Disclosure Stmt		501	40	501	40	Recording each patent assignment per property (x no. properties)		?	220	?	220	Protest after application published		?	1500	?	1500	Late Claim for priority during pendency		114	150	214	75	Provisional Application filing		Other fee (specify) _____						Other fee (specify) _____						SUBTOTAL (3)					(\$)
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SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Dean P. Edmundson		Reg. Number	25723
Signature	<i>Dean P. Edmundson</i>	Date	5/3/01	Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: HAROLD R. KAUFMAN ET AL.

Serial No: (Attorney docket 353-87) Group No.:

Filed: On or about 5/3/01 Examiner:

For: IMPROVED HALL-CURRENT ION SOURCE

Commissioner of Patents and Trademarks

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET551169959US

Date of Deposit May 3, 2001

I hereby certify that the following *attached* paper or fee

Above-identified application for patent  
Declaration and Power of Attorney  
5 sheets of drawings  
Transmittal letter  
Filing fee

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dean P. Edmundson

(Typed or printed name of person mailing paper or fee)

*Dean P. Edmundson*

(Signature of person mailing paper or fee)

NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.